

FORM **BE-80(B) (Report for Financial Services Provider)**
(11/99)

BEA USE ONLY

Control number

B

U.S. DEPARTMENT OF COMMERCE
BUREAU OF ECONOMIC ANALYSIS

**MANDATORY — CONFIDENTIAL
BENCHMARK SURVEY OF
FINANCIAL SERVICES
TRANSACTIONS BETWEEN U.S.
FINANCIAL SERVICES
PROVIDERS AND UNAFFILIATED
FOREIGN PERSONS — 1999**

**MAIL
REPORTS
TO**

U.S. Department of Commerce
Bureau of Economic Analysis
BE-50(SSB)
Washington, DC 20230

OR

**DELIVER
REPORTS
TO**

U.S. Department of Commerce
Bureau of Economic Analysis
BE-50(SSB)
Shipping & Receiving, Room M-100
1441 L Street, NW
Washington, DC 20005

Part I IDENTIFICATION OF FINANCIAL SERVICES PROVIDER

1. Name and address of U.S. Reporter — Enter the name and address of the U.S. person filing this report. If this report is for a separately organized financial services subsidiary, or part, of a consolidated enterprise, give the name and address of the subsidiary, or part, that is reporting on this Form BE-80(B). If an address label is affixed, make corrections as appropriate.

2. Name and control number (if known) of the consolidated U.S. enterprise of which the U.S. Reporter identified above is a subsidiary or part — If the U.S. person named above is a separately organized financial services subsidiary, or part, of a consolidated U.S. enterprise, give the name and control number (if known) of the consolidated U.S. enterprise; otherwise, do not complete this item. See the address label that may be affixed to Form BE-80(A), Part I, item 1, for the control number of the U.S. enterprise.

Control number of consolidated U.S. enterprise

10001

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Name

Due date — A completed Form BE-80, consisting of Form BE-80(A) and, as appropriate, one or more Forms BE-80(B), is due March 31, 2000.

Assistance — Telephone (202) 606-5588 between 8:00 a.m. and 4:00 p.m., eastern time for assistance or additional copies of the forms.

IMPORTANT NOTE

Complete item 2 of Part I of Form BE-80(A) for the consolidated U.S. business enterprise (including the top U.S. parent and all of its subsidiaries and parts) BEFORE completing this Form BE-80(B).

If you are required to report data or elect to report data voluntarily, you may either:

- File a separate form BE-80(B) for each separately organized financial services subsidiary, or part; or
- File a single BE-80(B) representing the sum of all covered transactions by all financial services subsidiaries, or parts.

The number of Forms BE-80(B) you file must agree with the number of U.S. Reporters listed in Part II of Form BE-80(A).

**PERSON TO CONSULT CONCERNING
QUESTIONS ABOUT THIS FORM BE-80(B)
REPORT** — Enter name and address.

CERTIFICATION — The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with VII.G of the **Instruction Booklet**, estimates have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.

Authorized official's signature

TELEPHONE
NUMBER

Area code

Number

Extension

Print or type name and title

Date

SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services

Complete the mandatory section of Schedule A for each type of financial service listed below if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$3,000,000. The voluntary section is **requested** to be completed if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$3,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule A. See V. of the **Instruction Booklet** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule A. Report transactions with international organizations; identify these transactions as being with "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage services, including foreign exchange brokerage services
2	Underwriting and private placement services
3	Financial management services
4	Credit-related services, except credit card services
5	Credit card services
6	Financial advisory and custody services
7	Securities lending services
8	Electronic funds transfer services
9	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you received for all other financial services combined. See V. of the Instruction Booklet for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule A under this service number — Enter a brief description of the primary type(s) of service(s) sold. ↘

SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services

REPORT IN THOUSANDS OF U.S. DOLLARS

RECEIPTS FROM	BEA USE ONLY		Service number:				
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
	MANDATORY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise exceed \$3,000,000. Also complete question 21, as appropriate.</i>	A1000					
1. All countries, total	001						
2. Belgium	002	1 302	2 3	4	5	6	7
3. Canada	003	1 100	2 3	4	5	6	7
4. France	004	1 307	2 3	4	5	6	7
5. Germany	005	1 308	2 3	4	5	6	7
6. Hong Kong	006	1 611	2 3	4	5	6	7
7. Italy	007	1 314	2 3	4	5	6	7
8. Japan	008	1 614	2 3	4	5	6	7
9. Netherlands	009	1 319	2 3	4	5	6	7
10. Singapore	010	1 625	2 3	4	5	6	7
11. United Kingdom	011	1 327	2 3	4	5	6	7
Other — Specify <i>(Use additional copied sheets as necessary.)</i>							
12.	012						
13.	013	1 2	3	4	5	6	7
14.	014	1 2	3	4	5	6	7
15.	015	1 2	3	4	5	6	7
16.	016	1 2	3	4	5	6	7
17.	017	1 2	3	4	5	6	7
18.	018	1 2	3	4	5	6	7
19.	019	1 2	3	4	5	6	7
VOLUNTARY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise are \$3,000,000 or less.</i>							
NOTE — If total receipts for all financial services combined exceed \$3 million — You must complete the MANDATORY section above, and line 20 must be left blank.							
20. All countries, total	020	1 709	2 3	4	5	6	7

MANDATORY

- If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — *Complete item 21.*
- If you are subject to the survey's mandatory reporting requirement and you entered data under service number 9 — *Describe the primary type(s) of service(s) in the space provided on page 3 of this form.*

21. If you reported data in the mandatory section of Schedule A under service number 1, brokerage services — *Enter the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (Estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)*

Report in thousands of U.S. dollars (1)

- | | | |
|---|-----|---|
| a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year) | 021 | 1 |
| b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants) | 022 | 1 |
| c. Other (such as commercial paper, bankers acceptances, and foreign exchange brokerage) | 023 | 1 |

SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign Persons for Financial Services

Complete the mandatory section of Schedule B for each type of financial service listed below if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$3,000,000. The voluntary section is **requested** to be completed if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$3,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule B. See V. of the **Instruction Booklet** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule B. Report transactions with international organizations; identify these transactions as being with "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage services, including foreign exchange brokerage services
2	Underwriting and private placement services
3	Financial management services
4	Credit-related services, except credit card services
5	Credit card services
6	Financial advisory and custody services
7	Securities lending services
8	Electronic funds transfer services
9	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you paid for all other financial services combined. See V. of the Instruction Booklet for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule B under this service number — Enter a brief description of the primary type(s) of service(s) purchased. ↗

SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign Persons for Financial Services

PAYMENTS TO		BEA USE ONLY		REPORT IN THOUSANDS OF U.S. DOLLARS					
				Service number:	Service number:	Service number:	Service number:	Service number:	
				(1)	(2)	(3)	(4)	(5)	(6)
MANDATORY <i>Complete if total payments of fees and commissions by the consolidated U.S. enterprise exceed \$3,000,000. Also complete question 21, as appropriate.</i>		1	2	3	4	5	6	7	
1. All countries, total 001									
2. Belgium 002		1	302	2	3	4	5	6	7
3. Canada 003		1	100	2	3	4	5	6	7
4. France 004		1	307	2	3	4	5	6	7
5. Germany 005		1	308	2	3	4	5	6	7
6. Hong Kong 006		1	611	2	3	4	5	6	7
7. Italy 007		1	314	2	3	4	5	6	7
8. Japan 008		1	614	2	3	4	5	6	7
9. Netherlands 009		1	319	2	3	4	5	6	7
10. Singapore 010		1	625	2	3	4	5	6	7
11. United Kingdom 011		1	327	2	3	4	5	6	7
Other — <i>Specify (Use additional copied sheets as necessary.)</i>		1		2	3	4	5	6	7
12. 012									
13. 013		1		2	3	4	5	6	7
14. 014		1		2	3	4	5	6	7
15. 015		1		2	3	4	5	6	7
16. 016		1		2	3	4	5	6	7
17. 017		1		2	3	4	5	6	7
18. 018		1		2	3	4	5	6	7
19. 019		1		2	3	4	5	6	7
VOLUNTARY <i>Complete if total payments of fees and commissions by the consolidated U.S. enterprise are \$3,000,000 or less.</i>		NOTE — If total payments for all financial services combined exceed \$3 million — You must complete the MANDATORY section above, and line 20 must be left blank.							
20. All countries, total 020		1	709	2	3	4	5	6	7
MANDATORY		<ul style="list-style-type: none"> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — <i>Complete item 21.</i> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 9 — <i>Describe the primary type(s) of service(s) in the space provided on page 5 of this form.</i> 							
21. If you reported data in the mandatory section of Schedule B under service number 1, brokerage services — <i>Enter the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (Estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)</i>		<i>Report in thousands of U.S. dollars (1)</i>							
a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year)		021							
b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants)		022							
c. Other (such as commercial paper, bankers acceptances, and foreign exchange brokerage)		023							